### Practitioner's Docket No. <u>U 013873-0</u>

**PATENT** 



#### Optional Customer No. Bar Code



UU14U
PATENT TRADEMARK OFFICE

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## COMBINED DECLARATION AND POWER OF ATTORNEY

(ORIGINAL, DESIGN, NATIONAL STAGE OF PCT, SUPPLEMENTAL, DIVISIONAL, CONTINUATION, OR C-I-P)

As a below named inventor, I hereby declare that:

#### TYPE OF DECLARATION

This declaration is of the following type:

original.

[X]

(check one applicable item below)

	[]	design.		
NOTE:	With the declarat 714.16,	exception of a supplemental oath or declaration submitted in a reissue, a supplemental oath or tion is not treated as an amendment under 37 CFR 1.312 (Amendments after allowance). M.P.E.P. Section $\mathcal{I}^{th}$ Ed.		
	[]	supplemental.		
NOTE:	If the declaration is for an International Application being filed as a divisional, continuation or continuation-in-part application, do <u>not</u> check next item; check appropriate one of last three items.			
	[]	national stage of PCT.		
NOTE:	If one of	the following 3 items apply, then complete and also attach ADDED PAGES FOR DIVISIONAL, WATION OR C-I-P.		
NOTE:	IOTE: See 37 C.F.R. Section 1.63(d) (continued prosecution application) for use of a prior nonprovisional application in the continuation or divisional application being filed on behalf of the same or fewer of the named in the prior application.			
	[]	divisional. continuation.		
NOTE:	Where an application discloses and claims subject matter not disclosed in the prior application, or a continuation or divisional application names an inventor not named in the prior application, a continuation-in-part application must be filed under 37 C.F.R. Section 1.53(b) (application filing requirements-nonprovisional application).			
	[]	continuation-in-part (C-I-P).		

#### INVENTORSHIP IDENTIFICATION

WARNING:

If the inventors are each not the inventors of all the claims, an explanation of the facts, including the ownership of all the claims at the time the last claimed invention was made, should be submitted.

My residence, post office address and citizenship are as stated below, next to my name. I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter that is claimed, and for which a patent is sought on the invention entitled:

#### TITLE OF INVENTION

## A FRACTURE FIXATION DEVICE IN WHICH A FIXATION PIN IS AXIALLY RESTRAINED

#### SPECIFICATION IDENTIFICATION

The spe	ecificati	on of which:	
		(complete (a), (b), or (c))	
(a)	[]	is attached hereto.	
NOTE:	"The following combinations of information supplied in an oath or declaration filed on the application filing date with a specification are acceptable as minimums for identifying a specification and compliance with any one of the items below will be accepted as complying with the identification requirement of 37 C.F.R. Section 1.63:		
	declarat	"(1) name of inventor(s), and reference to an attached specification which is both attached to the oath o tion at the time of execution and submitted with the oath or declaration on filing;	
	`	"(2) name of inventor(s), and attorney docket number which was on the specification as filed; or	
		"(3) name of inventor(s), and title which was on the specification as filed."	
		Notice of July 13, 1995 (1177 O.G. 60).	
(b)	[X]	was filed on February 11, 2002, [X] as Application No. 10/073,825 and was amended on (if applicable).	
NOTE:	Amendments filed after the original papers are deposited with the PTO that contain new matter are not accorded filing date by being referred to in the declaration. Accordingly, the amendments involved are those filed with the application papers or, in the case of a supplemental declaration, are those amendments claiming matter not encompassed in the original statement of invention or claims. See 37 C.F.R. Section 1.67.		
NOTE:	"The following combinations of information supplied in an oath or declaration filed after the filing date are acceptable as minimums for identifying a specification and compliance with any one of the items below will be accepted as complying with the identification requirement of 37 C.F.R. Section 1.63:  (A) application number (consisting of the series code and the serial number, e.g., 08/123,456);  (B) serial number and filing date;  (C) attorney docket number which was on the specification as filed;  (D) title which was on the specification as filed and reference to an attached specification which both attached to the oath or declaration at the time of execution and submitted with the oath or declaration; or  (E) title which was on the specification as filed and accompanied by a cover letter accurately identifying the application for which it was intended by either the application number (consisting of the series code and the serial number, e.g., 08/123,456), or serial number and filing date. Absent any statement(s) to the contrary, it will be presumed that the application filed in the PTO is the application which the inventor(s) executed by signing the oath or declaration.  M.P.E.P. § 601.01(a), 7th ed.		

(c)	[]	was	described and claimed in PCT International Application No.
(0)	į		d on and as amended under PCT Article 19 on (if
		SU	PPLEMENTAL DECLARATION (37 C.F.R. Section 1.67(b))
		(comple	ete the following where a supplemental declaration is being submitted)
	[]	I he	reby declare that the subject matter of the
		[]	attached amendment amendment filed on
			my/our invention and was invented before the filing date of the original above identified, for such invention.
	AC	CKNOW	LEDGMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR
specifi			te that I have reviewed and understand the contents of the above-identified ling the claims, as amended by any amendment referred to above.
37, Co			lge the duty to disclose information, which is material to patentability as defined in Regulations, Section 1.56,
			(also check the following items, if desired)
	[ ] and which is material to the examination of this application, namely, information where there is a substantial likelihood that a reasonable Examiner would consider important in deciding whether to allow the application to issue as a patent, and		
		[]	in compliance with this duty, there is attached an information disclosure statement, in accordance with 37 C.F.R. Section 1.98.
			PRIORITY CLAIM (35 U.S.C. Section 119(a)-(d))
NOTE:	37 C	C.F.R. § 1	55 Claim for foreign priority.
		"(a). forei and	An applicant in a nonprovisonal application may claim the benefit of the filing date of one or more prior ign applications under the conditions specified in 35 U.S.C. 119(a) through (d) and (f), 172, and 365(a) (b).
			(1)(i) In an original application filed under 35 U.S.C. 111(a), the claim for priority must be

(1)(i) In an original application filed under 35 U.S.C. 111(a), the claim for priority must be presented during the pendency of the application,, and within the later of four months from the actual filing date of the application or sixteen months from the fling date of the prior foreign application. This time period is not extendable. The claim must identify the foreign application for which priority os claimed, as well as any foreign application for the same subject matter and having a filing date before that of the application for which priority is claimed, by specifying the application number, country (or intellectual property authority), day, month, and year of its filing. The time period in this paragraph does not apply to an application for a design patent.

(ii) In an application that entered the national stage from an international application after compliance with 35 U.S.C. 371, the claim for priority must be made during the pendency of the application and within the time limit set forth in the PCT and the Regulations under the PCT."

(2) The claim for priority and the certified copy of the foreign application specified in 35 U.S.C. 119(b) or PCT Rule 17 must, in any event, be filed before the patent is granted. If the claim for priority or the certified copy of the foreign application is filed after the date the issue fee is paid, it must be accompanied by the processing fee set forth in § 1.17(i), but the patent will not include the priority claim unless corrected by a certificate of correction under 35 U.S.C. 255 and § 1.323.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed.

(complete (d) or (e))

(d)	[X]	no such applications have been filed.
(e)	[]	such applications have been filed as follows

NOTE: Where item (c) is entered above and the International Application which designated the U.S. itself claimed priority check item (e), enter the details below and make the priority claim.

# PRIOR FOREIGN/PCT APPLICATION(S) FILED WITHIN 12 MONTHS (6 MONTHS FOR DESIGN) PRIOR TO THIS APPLICATION AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. SECTION 119(a)-(d)

COUNTRY (OR INDICATE IF PCT)	APPLICATION NUMBER	DATE OF FILING DAY, MONTH, YEAR	PRIORITY CLAIMED UNDER 35 USC 119
			[]YES []NO

## CLAIM FOR BENEFIT OF PRIOR U.S. PROVISIONAL APPLICATION(S)

(35 U.S.C. Section 119(e))

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below:

PROVISIONAL APPLICATION NUMBER	FILING DATE
60 / 268,100	February 12, 2001
/	

CLAIM FOR BENEFIT OF EARLIER U.S./PCT APPLICATION(S) UNDER 35 U.S.C. SECTION 120

	( )	ADDED PAGES TO COM	Tany such applications are set forth in the attached IBINED DECLARATION AND POWER OF ATTORNEY TINUATION OR CONTINUATION-IN-PART (C-I-P)
	ALL I	FOREIGN APPLICATION (6 MONTHS FOR DESIG	(S), <i>IF ANY</i> , FILED MORE THAN 12 MONTHS N) PRIOR TO THIS U.S. APPLICATION
NOTE:	for this continu ATTOR	s application entering the United . nation-in-part, then also complete .	s from the filing date of this application is a PCT filing forming the basis States as (1) the national stage, or (2) a continuation, divisional, or ADDED PAGES TO COMBINED DECLARATION AND POWER OF UATION OR C-I-P APPLICATION for benefit of the prior U.S. or PCT
		POW	ER OF ATTORNEY
	I her busir	eby appoint the following praness in the Patent and Tradem	ctitioner(s) to prosecute this application and transact all ark Office connected therewith.
		(list name	and registration number)
JOSE	РН Н. Н	ANDELMAN, 26179	JULIAN H. COHEN, 20302
JOHN	RICHA	RDS, 31053	WILLIAM R. EVANS 25858
RICHARD J. STREIT, 25765			JANET I. CORD, 33778
PETE	R D. GA	ALLOWAY, 27885	CLIFFORD J. MASS, 30086
RICHARD P. BERG, 28145			CYNTHIA R. MILLER, 34678
		(Check the f	ollowing item, if applicable)
	[]		ioner(s) associated with the Customer Number provided lication and to transact all business in the Patent and d therewith.
	[ ] Attached, as part of this declaration and power of attorney, is the authorization of t above-named practitioner(s) to accept and follow instructions from my representative(s).		

NOTE: "Special care should be taken in continuation or divisional applications to ensure that any change of correspondence address in a prior application is reflected in the continuation or divisional application. For example, where a copy of the oath or declaration from the prior application is submitted for a continuation or divisional application filed under 37 CFR 1.53(b) and the copy of the oath or declaration from the prior application designates an old correspondence address, the Office may not recognize, in the continuation or divisional application, the change of correspondence address made during the prosecution of the prior application. Applicant is required to identify the change of correspondence address in the continuation or divisional application to ensure that communications from the Office are mailed to the current correspondence address. 37 CFR 1.63(d)(4)." Section 601.03, M.P.E.P., 7th Ed

SEND CORRESPONDENCE TO

Ladas & Parry 26 West 61<sup>st</sup> Street New York, N.Y. 10023 DIRECT TELEPHONE CALLS TO: (Name and telephone number)
JULIAN H. COHEN
(212) 708-1887

(complete the following if applicable)

Since this filing is a [ ] continuation [ ] divisional there is attached hereto a Change of Correspondence Address so that there will be no question as to where the PTO should direct all correspondence.

#### DECLARATION

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

## SIGNATURE(S)

NOTE:	Carefully indicate the family (or last) name, as it should appear on the filing receipt and all other document.
NOTE:	Each inventor must be identified by full name, including the family name, and at least one given name without abbreviation together with any other given name or initial, and by his/her residence, post office address and country of citizenship. 37 C.F.R. Section 1.63(a)(3).
NOTE:	Inventors may execute separate declarations/oaths provided <u>each</u> declaration/oath sets forth all the inventors. Section 1.63(a)(3) requires that a declaration/oath, inter alia, identify each inventor and prohibits the execution of separate declarations/oaths which each sets forth only the name of the executing inventor. 62 Fed. Reg. 53,131, 53,142, October 10, 1997,
Full na	ame of sole or first inventor
•	Name)  (Middle Initial or Name)  or's signature (X)  A 16 2027
Reside	nce 30 Aulike Street, Suite 506 Kailua, Hawaii 96734
Post O	ffice Address Same as above
LARS (Given Invent Date (I	or's signature (X) Johnson
<del></del>	ame of third joint inventor, if any
(Given	Name) (Middle Initial or Name) Family (Or Last Name)
	or's signature Country of Citizenship
	nce
- 03t O	ffice Address

## (check proper box(es) for any of the following added page(s) that form a part of this declaration)

[]	Signature for fourth and subsequent joint inventors. Number of pages added
	* * *
[]	Signature by administrator(trix), executor(trix) or legal representative for deceased or incapacitated inventor. Number of pages added
	* * *
[]	<b>Signature</b> for inventor who refuses to sign or cannot be reached by person authorized under 37 C.F.R. Section 1.47. <i>Number of pages added</i>
	* * *
[]	Added page for <b>signature</b> by one joint inventor on behalf of deceased inventor(s) where legal representative cannot be appointed in time. (37 C.F.R. Section 1.47)
	* * *
[]	Added pages to combined declaration and power of attorney for divisional, continuation, or continuation-in-part (C-I-P) application.  [ ] Number of pages added
	* * *
[]	Authorization of practitioner(s) to accept and follow instructions from representative.
	(If no further pages form a part of this Declaration, then end this Declaration with this page and check the following item)